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**Intrinsic electric fields in N-polarity GaN/Al<sub>x</sub>Ga<sub>1-x</sub>N quantum wells with inversion domains**

T. V. Shubina,\* A. A. Toropov, V. N. Jmerik, M. G. Tkachman, A. V. Lebedev, V. V. Ratnikov, A. A. Sitnikova, V. A. Vekshin,  
S. V. Ivanov, and P. S. Kop'ev  
*Ioffe Physico-Technical Institute, Polytekhnicheskaya 26, St. Petersburg 194021, Russia*

P. Bigenwald  
*LASMEA-UMR 6602 CNRS-UBP, 63177 Aubiere Cedex, France*

J. P. Bergman, P. O. Holtz, and B. Monemar

*Department of Physics and Measurement Technology, Linköping University, S-581 83 Linköping, Sweden*

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GaN/AlGa<sub>x</sub>N quantum wells (QWs) of dominant N polarity with inversion domains (IDs), grown by molecular-beam epitaxy, have been studied. Two-band photoluminescence (PL), with the lower-energy band and an additional absorption edge related to the IDs, is observed in these QWs due to a difference in strain, electric field, and well width in the regions of different polarities. A time-resolved PL study reveals additionally strong inhomogeneity of the electric fields among the IDs. The intrinsic electric fields in the structures are relatively small—their maximal estimated value of 180 kV/cm is among the lowest ever reported. The low-scale electric fields indicate likely polarization deterioration in the N-polarity structures. These conditions are favorable for bright PL up to room temperature in 8–9-nm-wide wells.

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**I. INTRODUCTION**

Recently it has been recognized that built-in electric fields induced by spontaneous polarization (SP) and piezoelectric (PE) effects in III-nitride-based quantum wells (QWs) result in a strongly decreased intensity and red energy shift of photoluminescence (PL) due to the quantum-confined Stark effect.<sup>1–7</sup> Most of the optical investigations of the phenomenon have considered the QW structures as being structurally uniform, and SP and PE polarization as macroscopically homogeneous, although real samples usually contain many inversion domains (IDs). In these regions, 5–30 nm in diameter, the polarity is reversed with respect to the rest of the surface.<sup>8–10</sup> The change of polarity takes place at ID boundaries (IDBs) lying usually within {1–100} planes.

Until now there has been a lack of data concerning optical properties of the QWs with IDs, especially of N-polar ones, where the density of IDs may be rather high. These structures are currently considered as poorly luminescent,<sup>11</sup> although intense PL from N-polar GaN films has been reported recently.<sup>12</sup> The low formation energy of IDBs usually emerging from the sapphire interface is a prerequisite for their propagation throughout the entire epilayer, including the QWs. One could expect a vertical stack of QWs inside the IDBs to exhibit optical properties differing from those of the remaining sample area. An imposed difference (~90 meV) in PL energies of the Ga- and N-polar GaN layers grown on a patterned AlN/c-Al<sub>2</sub>O<sub>3</sub>, as well as bright PL at their boundaries, have been demonstrated, although not completely explained.<sup>13</sup>

The switching of the polarity at an IDB means an instant sign change of the intrinsic electric fields. At a first glance these field fluctuations between positive and negative values are unessential for PL [in contrast to the effects related to a two-dimensional electron gas,<sup>14</sup> (2DEG)] since the absolute

value of the intrinsic field is to be the same in the regions of different polarities, and spatially oblique transitions occur between electrons and holes pushed out towards the opposite interfaces of QW and ID regions. However, the equivalence of the strain and electric fields in the IDs and the main area has not been proven so far.

In this paper, we report on ID manifestations in the optical properties of GaN/AlGa<sub>x</sub>N QW structures of dominant N polarity. Our findings demonstrate that a most intense PL band in the QW can be correlated with the IDs rather than with the main area. The results obtained also imply a strong decrease of the intrinsic electric fields in the N-polar QWs with the domains.

The paper is organized as follows. A detailed description of the samples studied is given in Sec. II, focusing on the polarity assignment, the appearance of the IDs and the realized strains. In Sec. III, we present the basic optical properties of the structures directly related to the IDs. The peculiarities of the PL, elucidated by both cw and time-resolved (TR) studies, are given in Sec. IV. Consideration of intrinsic electric fields in the QWs with IDs is given in Sec. V. We compare the field, which is expected at different strain conditions determined by x-ray diffractometry (XRD) (Sec. V A) with the fields deduced from the optical experiments (Sec. V B). The latter fields are estimated considering consequences of the Stark effect on the optical properties. In the Sec. VI, we discuss the validity of our results and possible mechanisms of the electric-field decrease in the N-polar structures with IDs.

**II. GROWTH, CHARACTERIZATION, AND POLARITY ASSIGNMENT**

The structures studied were grown at 720 °C on (0001) sapphire by plasma-assisted molecular-beam epitaxy (MBE)

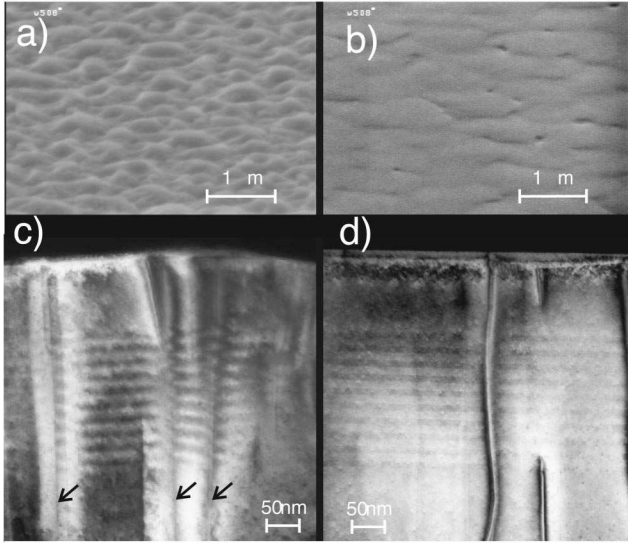


FIG. 1. SEM images taken from the surface of the GaN/AlGaIn MQW sample in the (a) central and (b) periphery regions grown under  $\text{Ga/N} \sim 1$  and  $\text{Ga/N} \gg 1$ , respectively. Cross-sectional dark field TEM images are taken with  $g = (0002)$  from the (c) central and (d) periphery regions. Arrows mark the domain boundaries.

using a radio frequency capacitively coupled magnetron.<sup>15</sup> The growth was initiated directly by a high-temperature 1- $\mu\text{m}$ -thick AlGaIn layer with the AlN content ( $x$ ) of about 10% without any GaN buffer. The similar AlGaIn cap layer of 120-nm thickness was grown on top of the QW active region. This permitted us to measure absorption in addition to reflection and both cw and TR PL. For a careful analysis of the interplay between the ID density and the optical properties, we have chosen two representative samples. One of the structures contains three single QWs (3-QW) of 8-, 5-, and 2.5-nm thickness, respectively, and the other is a multiple quantum well (MQW) sample with ten  $\sim 8$ -nm-thick QWs. The wells are separated by  $\sim 13$ -nm  $\text{Al}_{0.1}\text{Ga}_{0.9}\text{N}$  barriers. The residual doping level in these structures is less than  $(1-5) \times 10^{16} \text{ cm}^{-3}$  as determined by capacitance-voltage measurements.

The transition from the slightly ( $\text{Ga/N} \sim 1$ ) to strongly ( $\text{Ga/N} \gg 1$ ) Ga-rich growth regime took place between the center and the periphery of the samples due to a certain temperature gradient. This fact permitted us to vary the density of IDs across the wafer surface as reported previously.<sup>16</sup> The type of reflection high-energy electron-diffraction pattern monitored *in situ* during the growth was  $(1 \times 1)$ , and no  $(2 \times 2)$  structure was observed. At temperatures below 300 °C, we registered the  $(1 \times 1) \rightarrow (3 \times 3)$  and  $(1 \times 1) \rightarrow (6 \times 6)$  transitions in the regions grown at  $\text{Ga/N} \sim 1$  and  $\text{Ga/N} \gg 1$ , respectively. Such reconstructions are typical for the N polarity.<sup>17</sup>

Scanning electron microscopy (SEM) surface images taken at different spots on the samples using the CamScan S4-90 field-emission microscope demonstrate a smooth film surface at the periphery and a rough one in the center, filled by hillocks of a height of up to a few tens of nanometers, which is indicative of ID formation [Figs. 1(a) and 1(b)].

Transmission-electron microscopy (TEM) images also reveal plain and perfect QWs in the outer regions, while there are many IDs in the central area, originating from the interface with the substrate [Figs. 1(c) and 1(d)]. The cross-sectional TEM images were taken for  $g = (0002)$  using a Philips EM-420 microscope at a 100-kV voltage. The domains were easily distinguished from other defects by imaging the same region at different diffraction conditions, e.g., varying  $g$ .<sup>18</sup> The density of the IDs amounts to  $4 \times 10^{10} \text{ cm}^{-2}$  in the center, and drops by an order of magnitude in the perfect intermediate region grown at  $\text{Ga/N} > 1$ . The periphery is almost free of IDs, but instead the density of nanopipes increases significantly there. The average widths of wells and barriers are found to be slightly larger in the center ( $l_w = 9$ ,  $l_b = 14$  nm) than at the periphery ( $l_w = 8$ ,  $l_b = 13$  nm) as determined by a TEM study. This conclusion is verified by the determination of the MQW period via the angle separation between the interference fringes in the XRD scans measured at different points along the sample surface. The increase of the widths is obviously related to a higher growth rate at the numerous Ga-polar IDs.<sup>19,20</sup>

The strain conditions in the samples were studied by XRD using double- and triple-crystal diffractometers and  $\text{Cu } K_{\alpha 1}$  radiation. The material parameters used for the strain and electric-field estimations presented below were taken from Refs. 21–24. The physical quantities of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  alloys were determined using Vegard's law. The average in-plane stresses  $\sigma_a$  were determined with an accuracy of  $\sim 10\%$  by the measurements of the curvature of the sapphire substrate and the use of the Stoney equation, as described previously.<sup>25</sup> The samples have a complex convex shape with different radii in the ID-enriched and ID-free regions, which are 16.8 and 7.9 m in the MQW sample, and almost the same, 15.2 and 7.6 m, in the 3-QW sample. In the MQW sample, the radii correspond to compressive stresses of  $-1.3$  and  $-2.8$  GPa in the center and periphery, respectively.

The values of macrostrain  $\varepsilon_c$  along the  $c$  axis and lateral deformation  $\varepsilon_a$  are found using the well-known relations for a hexagonal structure:  $\sigma_a = -(C/2C_{13})\varepsilon_c$ ,  $\varepsilon_a = (C/C_{33})/\sigma_a$ , where  $C_{ij}$  are elastic constants, and  $C = (C_{11} + C_{12})C_{33} - 2C_{13}^2$ . To find the Al content, we first determined the exact interplanar spacing  $c$  in different regions, using a perfect 6H-SiC monocrystal as a reference, then found the average concentrations of Al via an equilibrium lattice constant  $c_0 = c/(1 + \varepsilon_c)$ . Different contents of Al in the central (0.085) and periphery (0.118) regions provide different misfits between the wells and the barrier ( $-0.0020$  and  $-0.0029$ , respectively). The values of the lateral deformation of AlGaIn layer,  $\varepsilon_a$ , obtained in both the center ( $-0.0027$ ) and periphery ( $-0.0058$ ), show that a complete relaxation of the layer does not occur.

### III. OPTICAL EVIDENCE OF INVERSION DOMAINS

In spite of the perfect morphology, the PL signal is negligible at the periphery of the MQW and 3-QW samples, where the epilayers are almost free from the IDs but enriched by the nanopipes, while in the central parts of both samples,

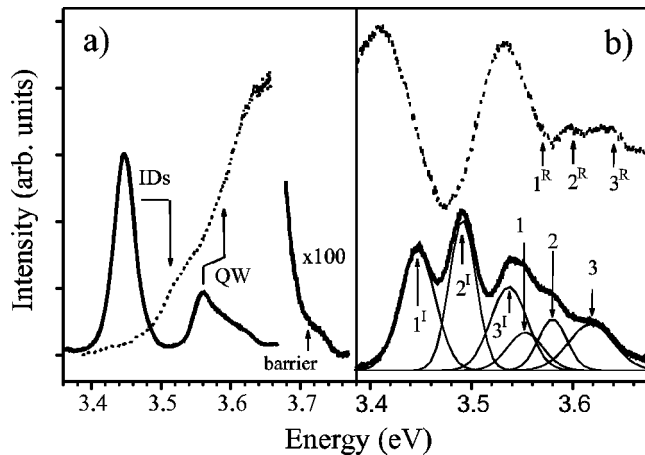


FIG. 2. (a) Photoluminescence (solid line) and absorption (dashed line) spectra measured in the central region of the MQW sample. The arrows link PL bands related to IDs and a main QW area with the respective absorption edges. (b) PL (thick solid line) and reflection (dashed line) spectra measured in the central part of the 3-QW sample. Thin solid lines represent the decomposition of the PL spectrum using six Gaussian contours. The arrows indicate PL peaks related to the main QW area (1, 2, 3) and IDs ( $1'$ ,  $2'$ ,  $3'$ ), as well as reflection singularities ( $1^R$ ,  $2^R$ ,  $3^R$ ).

the PL is rather bright. For spots where the emission is strong, the PL spectra contain two bands separated by  $\sim 70$ – $120$  meV. The value of this energy separation depends on the excitation power and the position on the samples. Figure 2(a) shows a PL spectrum with a strong lower-energy band and a weaker higher-energy one, taken in the central part of the MQW sample at low temperature (10 K) and excitation by the 325-nm line of a 15-mW He-Cd laser. The emission from the AlGaIn barriers, revealed at high excitation power as a shoulder near 3.72 eV, indicates that the two principal bands are related to the QW active region. In addition, temperature-dependent measurements demonstrate that both bands in the MQW sample survive up to room temperature [Fig. 3(a)], which is rather characteristic for QW emissions.

Absorption spectra measured using a Xe lamp in the center of the MQW sample, enriched by the inversion domains [Fig. 2(a)], provide important information. In the spectral region of the two-band PL emission, there is a prevailing absorption edge, which correlates well with the higher-energy band. (The absorption edge of the AlGaIn barrier appears above 3.7 eV.) Moreover, an additional absorption edge was revealed as a shoulder at 3.52 eV, apparently related to the lower-energy emission. Since the domains occupy a significant part of the total area in the center, their density of states is sufficient to contribute to the absorption. Besides, the relatively high intensity of the higher-energy PL band can be realized, if the respective region occupies a significant part of the total area such as the main QW area of the dominant N polarity in our samples. Therefore, we tentatively attribute the lower- and higher-energy PL bands with the respective absorption features to the IDs and the main QW area, respectively.

A similar higher-energy PL band, previously observed in

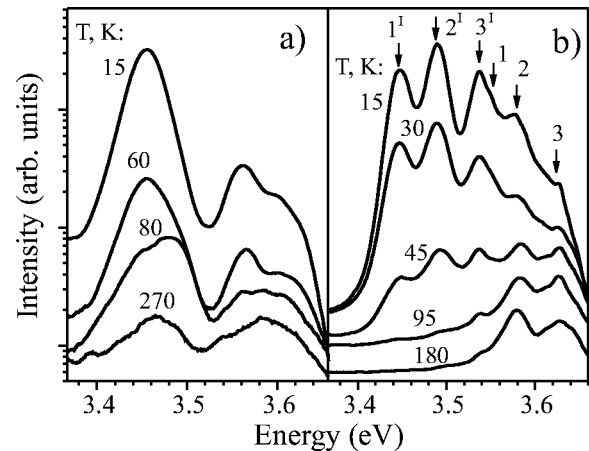


FIG. 3. Photoluminescence spectra measured in (a) the MQW sample and (b) the 3-QW sample at various temperatures. The spectra are vertically shifted for clarity. The notation of the PL peaks is the same as in Fig. 2(b).

GaN/AlGaIn QWs, was explained in terms of spatially direct transitions within thick wells due to an extremely low carrier mobility.<sup>2</sup> However, some high-energy features are visible in the PL spectrum taken in the 3-QW sample [Fig. 2(b)]. One can assume that they are due to two-band emission from each of the QWs of 2.5-, 5-, and 8-nm nominal widths. In the narrow wells, exciton radiative lifetimes are significantly less, which makes the explanation proposed in Ref. 2 incredible for our structures.

In the 3-QW samples, as well as in the MQWs, the lower-energy emission decreases faster with the temperature rise than the higher-energy one. As a result, we have registered three well-pronounced PL bands at high temperatures [Fig. 3(b)].

Figure 2(b) presents a reasonable variant of deconvolution of the complicated low-temperature PL spectrum in the 3-QW sample. It has been done using Gaussian contours because of the strain, thickness, and composition inhomogeneities. The spectrum has been decomposed assuming two-band emission in each well. The lower-situated band in such emission originates at regions of intersections of QWs with IDs. Since the domains penetrate throughout the entire heterostructure including every well, six peaks in total should be in the spectra of the 3-QW sample—three peaks from the main QW area and three more from such intersections. We believe that five peaks are seemingly in the spectrum, because of close energies of two PL lines forming the middle doublet peak. Our estimation of exciton energies presented in Sec. V B, performed taking into account both strain and electric field, has shown that such coincidence is possible. Thus, the well-separated peaks  $1'$  and  $2'$  are considered as the ID PL lines of 8- and 5-nm-wide wells, respectively. The middle peaks  $3'$  and 1 are tentatively assigned to the ID PL in the 2.5-nm-wide QW and the basic QW PL in the 8-nm-wide QW, respectively. Peaks 2 and 3 are ascribed to emission from the main QW area in the 5- and 2.5-nm-wide wells, respectively.

To obtain the spectrum deconvolution the standard nonlinear-curve-fitting iterations are performed with some



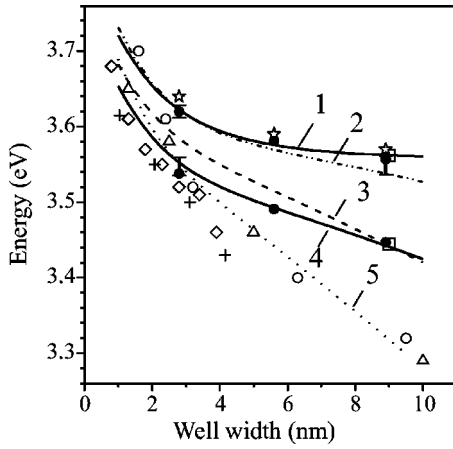


FIG. 4. Energies of higher- and lower-energy features in the 3-QW sample obtained from photoluminescence (solid circles) and reflection (stars) spectra. PL energies in the MQW samples are illustrated by open squares. Vertical bars present inaccuracy in determination of the transition energies discussed in the text. When the bars are absent, this inaccuracy is within the limits of the marks. Calculated well-width dependencies of exciton energies are obtained at (1)  $E = 20$  kV/cm,  $E_g = 3.570$  eV,  $\varepsilon_a = -0.0058$ ; (2)  $E = 120$  kV/cm,  $E_g = 3.570$  eV,  $\varepsilon_a = -0.0058$ ; (3)  $E = 240$  kV/cm,  $E_g = 3.541$  eV,  $\varepsilon_a = -0.0027$ ; (4)  $E = 180$  kV/cm,  $E_g = 3.504$  eV,  $\varepsilon_a = 0$ ; (5)  $E = 390$  kV/cm,  $E_g = 3.504$  eV,  $\varepsilon_a = 0$ . Available data on PL energies in similar QWs of Ga polarity are shown by open triangles (Ref. 2), diamonds (Ref. 3), crosses (Ref. 6), and circles (Ref. 7).

reasonable limitations. First, we have estimated the high-energy limit for PL peak position in the narrowest QW by PL measurements at high excitation power, when the peak maximum is most pronounced. The limit is about 3.64 eV, which permits us to neglect the higher-energy emission at the deconvolution. Second, during the first iteration we have fixed the widths of the well-separated peaks  $1^I$  and  $2^I$ . In the following iterations, the widths of the next peaks are established to be comparable to or more than the found values. In other words, various inhomogeneities at the N polarity are assumed to be similar to or more than those at the Ga one. This restriction instantly decreased the number of the possible deconvolution variants. Allowable variation of the energy positions of the peaks during the following iterations was within the 10-meV limit. Actually, only one uncertainty still remains, in assignment of the peaks 1 and  $3^I$ , and each of those can be ascribed either to the ID PL of the 2.5-nm-wide well or to the basic PL of the 8-nm-wide QW. Therefore, we consider the difference between the energies of the peaks as maximal inaccuracy in determination of their energies. This inaccuracy, shown by vertical bars in Fig. 4, is taken into account in the estimation of the internal electric fields in Sec. V B.

A reflection spectrum monitored at the same region of the 3-QW sample exhibits no singularities in the spectral region of the  $1^I$  and  $2^I$  ID-related lines, while pronounced reflection features marked as  $1^R$ ,  $2^R$ , and  $3^R$  in Fig. 2(b) correlate with the higher-energy part of the spectrum. The Stokes shifts of 20–25 meV between these reflection singularities and the emission peaks 1, 2, and 3 are close to the previously re-

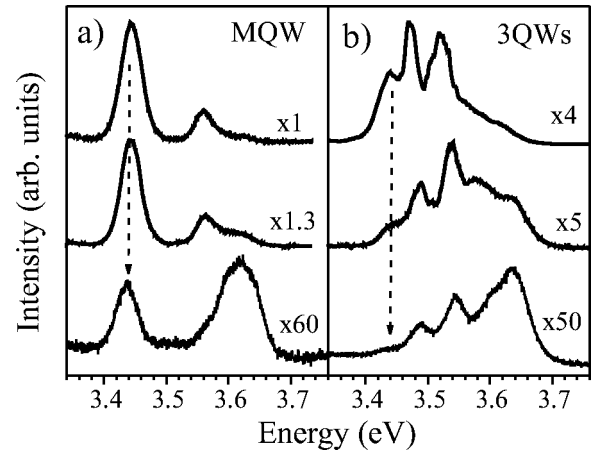


FIG. 5. The variation of microphotoluminescence spectra along a gradient of ID density in (a) the MQW and (b) 3-QW samples. The arrows indicate the evolution from ID-enriched to ID-impoorished regions.

ported value of  $22 \pm 5$  meV for similar QWs,<sup>3</sup> while the gap between peaks  $3^I$  and  $1^R$  is larger ( $\sim 36$  meV). That impels us to assign, tentatively, the observed reflection feature to the main QW area. Therefore we plot the energy positions of the reflection singularities as related to the main QW PL bands in Fig. 4. The ID and QW PL peak energies registered in the MQW sample are shown there as well. One can see that the energies of ID PL in the wells of the 8-nm nominal width are close in both samples. The same concerns the respective QW PL peaks. This fact supports our assignment of the questionable peaks in the 3-QW sample.

Additional weighty arguments to assign the lower-energy PL emission to the IDs arise from both conventional PL and micro-PL ( $\mu$ -PL) measurements performed along the gradient of the ID density. At the  $\mu$ -PL study the laser beam was focused on the surface of the as-grown samples down to a diameter of 1.5  $\mu$ m, while the other conditions were similar to those reported in Ref. 26. These measurements demonstrated a quenching of the lower-energy bands, as compared with the higher-energy ones, with the ID density reduction. Typical  $\mu$ -PL spectra along the ID gradient are presented in Fig. 5. One can see that the single ID PL band in the MQW sample and the triad of the ID PL peaks in the 3-QW sample are significantly weakened towards the periphery, which is consistent with the ID density decrease.

To finally confirm this model we have measured the  $\mu$ -PL in the perfect region, grown at  $\text{Ga/N} > 1$ , where the IDs are rare and tend to agglomerate. The accompanying hillocks are visible as smooth spots on the flat surface. Two spectra taken at the same conditions within such a spot and in close vicinity show a dramatic enhancement of the lower-energy band in the ID region, while the QW PL band dominates in the domain-free QW area (Fig. 6).

#### IV. PECULIARITIES OF THE TWO-BAND PHOTOLUMINESCENCE

##### A. cw measurements

The  $\mu$ -PL measurements reveal a complicated structure of the ID and QW bands. Besides the principal PL bands, re-

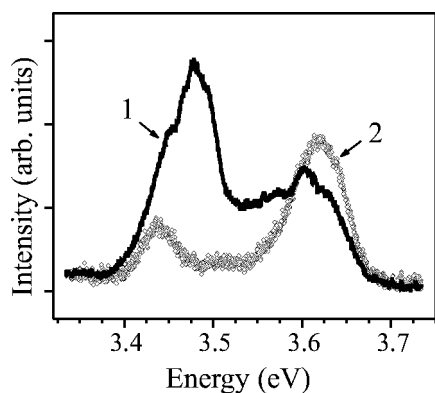


FIG. 6. Microphotoluminescence spectra measured at similar conditions in (1) ID-enriched and (2) ID-free spots in the MQW sample.

lated to the extended regions of opposite polarities, there are additional PL peaks situated between them. As shown by the measurements at a low excitation level, these peaks, especially pronounced within the ID-enriched spots, originate from sharp narrow lines, whose detailed behavior and interpretation have been published elsewhere.<sup>27</sup> Note that similar lines were observed at the intentionally formed IDBs in a GaN film.<sup>13</sup>

The PL measurements performed at various excitation conditions (Fig. 7) show that the QW and ID PL bands exhibit different behavior. The intensity of the higher-energy band increases more rapidly with power than that of the lower-energy one. The latter tends to saturate with the power increase, which is consistent with the attribution of the lower-energy band to the IDs occupying only a limited part of the total area.

The ID band is shifted by 30–40 meV with increasing power, which is commonly assigned to the screening of the electric fields by excited carriers.<sup>1</sup> On the contrary, the energy position of the QW band is almost constant with the power. The different shifts imply disparate magnitudes of the intrinsic electric fields in the respective regions.

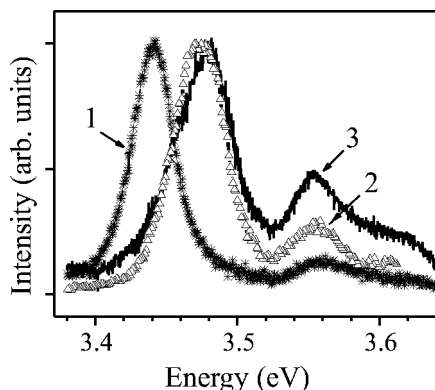


FIG. 7. Photoluminescence spectra measured in the center of the MQW sample at different excitations: (1) the 325-nm line of a He-Cd laser, 50 W/cm<sup>2</sup>; (2) the 337-nm line of a nitrogen pulse laser, 50 kW/cm<sup>2</sup>; and (3) the 266-nm laser line, a micro-PL setup, 300 kW/cm<sup>2</sup>. The spectra are normalized to maximum intensities.

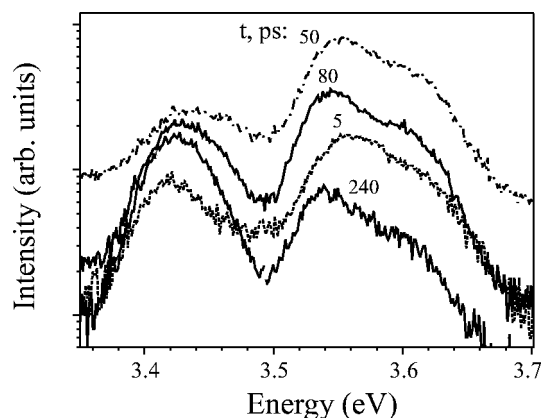


FIG. 8. Selected photoluminescence spectra registered at different delay times in the center of the MQW sample.

The temperature measurements demonstrate an emerging shoulder at 3.48 eV within the ID PL band at  $\sim 80$  K (Fig. 3). The energy corresponds to the additional PL peak originating from the sharp lines on the higher-energy wing of the ID band at high excitation power. Similar redistribution of intensity takes place within the basic QW band as well. Thus, one can assume that an increase of either temperature or excitation power involves higher states in the recombination process, whose origin needs additional study.

### B. Time-resolved measurements

The temporal evolution of the two-band PL was studied by means of a streak camera for detection and sub-pico-second laser pulses for excitation. Selected PL spectra taken in the center of the MQW sample (Fig. 8) demonstrate that the higher-energy band with a peak at 3.55 eV dominates within the first 100 ps of the PL decay. Subsequently, the ID-related band, which is initially of weaker intensity, due to a slower decay, gains in relative strength at longer delays.

The fitting of the decay curves is performed using a two-exponential decay model except for the higher-energy wing of the QW band, where a single-exponential decay is observed. The characteristic decay times for the fast emission vary slightly (30–70 ps) for two bands (Fig. 9). The same applies for the slow component of the higher-energy band (130–220 ps), while the decay times of the slow component increase dramatically from 0.3 to 2–3 ns along the contour of the lower-energy band. This decay time variation can hardly be explained by the  $\sim 1$ –2 monolayer QW widening within IDs revealed by the structural studies, since the latter could change the lifetimes by a factor of 2 to 3, maximum. On the contrary, local fluctuations of the electric field in the domains can disperse the values within an order of magnitude due to the dramatic reduction of the exciton oscillator strength with the field increase.<sup>2,5</sup> This finding evidences inhomogeneity of the array of the IDs, where important parameters such as the strain, electric fields, and sizes are fluctuating quantities.

We should highlight that a QW with IDs is a typical two-level system from the carrier kinetics point of view. Photo-created carriers move relatively freely in the plane of the QW and become trapped in the IDs. Besides the electric field, the

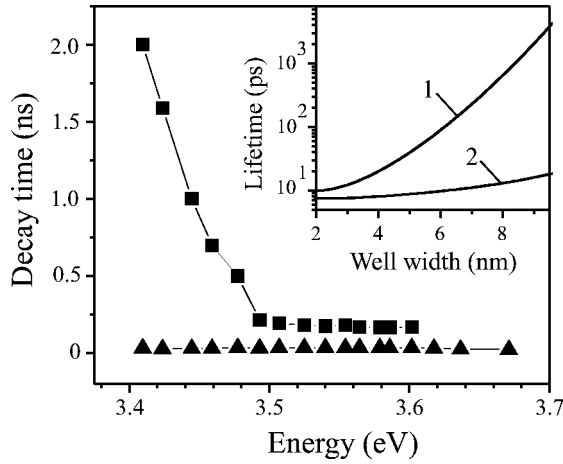


FIG. 9. Variation of (triangles) “fast” and (squares) “slow” decay times along a contour of photoluminescence in the MQW sample. The inset presents calculated dependencies of the exciton radiative lifetimes on the well width with (1)  $E = 180$  kV/cm,  $E_g = 3.504$  eV,  $\varepsilon_a = 0$ ; (2)  $E = 20$  kV/cm,  $E_g = 3.570$  eV,  $\varepsilon_a = -0.0058$ .

carrier kinetics is determined by the generation rates in the IDs and the QW, the radiative and nonradiative recombination lifetimes, and finally, by a transfer time  $\tau_{tr}^{QW-ID}$  between the regions of different polarities, which depends essentially on the carrier mobility along the QW and the density of the IDs. If the transfer time is small enough, this enhances additionally the fast decrease of the QW band intensity.

There are some experimental facts that seem to prove the two-level model. Namely, the energies of the peaks shift differently with the time. The QW PL band is redshifted, while the ID PL band is initially blueshifted followed by a redshift [Fig. 10(a)]. The most significant shifts occur during the first 100 ps with a maximal increase of the ID PL intensity in that time domain [Fig. 10(b)]. During this time window, the carrier transfer likely gives rise to screening of the built-in electric field, causing the enhancement and blueshift of the ID

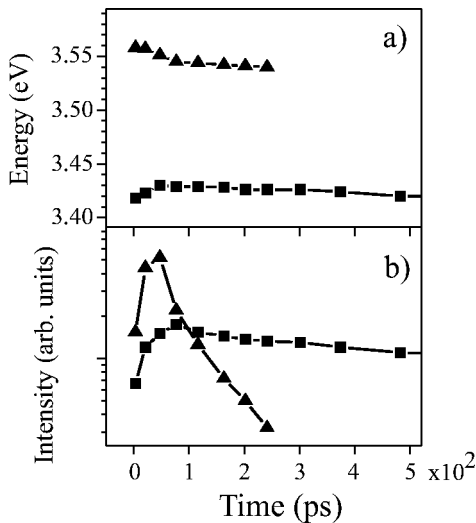


FIG. 10. The (a) energy shift and (b) intensity variations of the (triangles) QW and (squares) ID photoluminescence bands.

PL. When the flow of the nonequilibrium carriers exhausts, the blueshift is replaced by a redshift.

## V. FLUCTUATION OF INTRINSIC ELECTRIC FIELDS

### A. Electric fields under different strain conditions

We have estimated the macroscopic intrinsic electric fields in the MQW structure, using the parameters obtained from the structural characterization (see Sec. II). The variations of well and barrier thicknesses and Al content from the center ( $l_w = 9$ ,  $l_b = 14$  nm,  $x = 0.085$ ) to periphery ( $l_w = 8$ ,  $l_b = 13$  nm,  $x = 0.118$ ) were taken into account. In this estimation, we neglect the possible fluctuation of the parameters around their average value.

The electric field is considered as the sum of the components induced by the piezoelectric ( $E^{PE}$ ) and spontaneous ( $E^{SP}$ ) polarizations:<sup>1,28</sup>

$$E_w^{PE(SP)} = \frac{P_b^{PE(SP)} - P_w^{PE(SP)}}{\kappa_0[\kappa_w + \kappa_b \cdot (l_w/l_b)]},$$

$$E_b^{PE(SP)} = -E_w^{PE(SP)} \cdot (l_w/l_b). \quad (1)$$

The indices  $w$  and  $b$  refer to the wells and the barriers,  $P^{PE(SP)}$  is the piezoelectric (spontaneous) polarization,  $\kappa_0$  is the permittivity of the vacuum,  $\kappa_{w(b)}$  is the static dielectric constant of the well (barrier) material, and  $l_{w(b)}$  is the thickness of the well (barrier). Such a consideration neglects the possible contribution induced by the polarization in the thick AlGaIn buffer that introduces a dominant error. It underestimates the electric fields in the wells and vice versa in the barriers. However, some saturation and disturbance of the polarization by defects in the thick layers can reduce the influence of this factor.<sup>29</sup>

The piezoelectric polarization along the  $c$  axis is  $P^{PE} = 2\varepsilon_a[e_{31} - e_{33}(C_{13}/C_{33})]$ , where  $\varepsilon_a$  is a lateral strain in a layer,  $e_{ij}$  are piezoelectric constants, and  $C_{ij}$  elastic constants. The value of  $P^{PE}$  is positive (negative) for a compressive (tensile) stress for the Ga polarity, while the spontaneous polarization is negative. (The signs are reversed for the N polarity.) As a result, the spontaneous and piezoelectric polarizations are antiparallel (parallel) at compressive (tensile) stress.<sup>1,14</sup>

However, the built-in electric fields induced by the  $P^{PE}$  and  $P^{SP}$  in the GaN QWs grown in an AlGaIn matrix can have either the same or opposite signs, depending on the strain conditions. Indeed, for Ga polarity, the  $(P_b^{SP} - P_w^{SP})$  and, hence,  $E^{SP}$  are negative. In turn, the sign of the piezoelectric field is mostly determined by the difference in the lateral strains  $\varepsilon_{a(b)} - \varepsilon_{a(w)}$  in the barriers and wells. The strained QWs are under additional misfit-induced compression and the difference is negative. As a result, the magnitude of the electric field in the QWs, being a sum of the components, is maximal. In the MQW sample, it is estimated to be 470 kV/cm in the center and 640 kV/cm at the periphery. It is worth noting that the electric fields depend strongly on the choice of the SP parameters. A possible SP nonlinearity<sup>22</sup> can provide lowering of the resultant fields down to 220 and 300 kV/cm at the center and periphery, respectively.

A mutual compensation due to different signs of the SP and PE polarization components is realized when the QWs are relaxed with respect to the strained barriers. This can decrease the intrinsic electric field from 470 to 150 kV/cm in the center, and even down to 60 kV/cm at the periphery. A joint relaxation of the wells and barriers disturbs the balance and worsens the compensation. In the limit case of full relaxation of both the wells and barriers, the electric field varies in the range 80–340 kV/cm depending on the nonlinearity of the SP.

### B. Stark effect in quantum wells with IDs

To estimate the electric fields realized in the regions of different polarities and the range of their fluctuation between the IDs and the rest of the QW area, we have performed fitting of the well-width dependencies obtained from the optical measurements (see Fig. 4). These dependencies, in accordance with compressive strain revealed by XRD, are characterized by higher photon energies than published previously for Ga-polarity GaN/AlGaIn QWs. In addition, the dependencies are more flat, evidencing the weaker Stark effect.

The exciton energies in the AlGaIn/GaN QWs were estimated within the envelope wave-function approximation, using a variational approach with a single-parameter variational function for a quasi-two-dimensional exciton. The ratio of the conduction- and valence-band discontinuities is taken as 0.75/0.25,<sup>30</sup> and the carrier effective masses for AlN and GaN are taken from Ref. 31. For bulk GaN, the  $A$  exciton energy is determined as  $E_A = 3.478 + 15.4\epsilon_c$ , where  $\epsilon_c$  is the strain along the  $c$  axis.<sup>32</sup> The exciton binding energy is taken as 26 meV, and the AlN band gap as 6.23 eV.<sup>33</sup> For this calculation, the value of the fields in barriers is assumed to be 90 kV/cm.

In this calculation, we assumed for the main QW area in the MQW sample the values of the well and barrier thicknesses and Al content determined for the periphery region, since the latter is almost ID-free. For the IDs we assumed the average values of the parameters characteristic for the central part enriched by the domains. We implied the same values for the well of 8-nm nominal width in the respective regions of the 3-QW samples and a proportional width variation for the narrower wells. Generally, in the ID regions the thicknesses may be larger and the Al content can be less than their average values due to higher growth rate and suppressed incorporation of Al, respectively. However, these fluctuations counteract with an influence on the transition energy, which can work in opposite directions, e.g., a 20–30-meV redshift due to an unaccounted  $\sim 1$ -nm well-width increase can be completely compensated by the blueshift due to a possible  $\sim 1\%$  Al decrease.

The best fit of the ID-related well-width dependence is obtained assuming complete relaxation of both the GaN and AlGaIn layers at the electric field of 180–200 kV/cm. One can see that fitting with the average strain measured by XRD in the center and the mean-field value of 240 kV/cm (Fig. 4, dashed line) is much worse. Therefore, we speculate that some strain relaxation inside the IDs is possible, especially

taking into account that their formation is partly a response to the lattice mismatch at a sapphire/epilayer interface.

The dependence attributed to the main QW area is fitted to the strain conditions prevailing at the periphery area. The best fitting is obtained for an electric field not exceeding 20 kV/cm, in spite of the large compressive stress and absence of apparent QW relaxation (e.g., in the TEM images), which could cause a reduction of the field due to some compensation of the SP and PE components. Note that the available data on well-width dependencies in Ga-polarity GaN/AlGaIn QWs, unstrained due to the growth on thick GaN buffers,<sup>3–7</sup> are fitted using the electric field of 390 kV/cm.

It should be emphasized that some error in the determination of the electric fields can certainly arise from inaccuracy in the processing of the PL and reflection data. The above-discussed uncertainty in the assignment of the middle PL peaks in the 3-QW spectra can increase the field values up to 120 kV/cm in the N-polarity regions (see Fig. 4, line 2). In addition, the QW PL band has a complicated shape apparently consisting of two peaks in the ID-rich regions, which is probably related to carrier localization on IDBs. Our model does not consider that, nor a complexity of the lower-energy band. However, even taking the uncertainties into account, the intrinsic electric fields in the N-polar QW can hardly exceed 150 kV/cm.

We have used the values of 180 and 20 kV/cm to calculate the exciton radiative lifetimes (see the inset of Fig. 9). The so-obtained values are close to the experimental ones characteristic for an 8-nm-width QW. They are about 1 ns and 20 ps for 180 and 20 kV/cm<sup>2</sup>, respectively. The increase in the experimental decay time up to 220 ps within the lower-energy part of the QW band can be induced by carrier localization at the IDBs and other imperfections.

The electric fields can also be estimated via the PL energy shift from the center to the periphery, which is determined by the conjoint action of the strain (blueshift) and the Stark effect (redshift). The observed ID PL redshift of  $\sim 10$  meV is reasonable for a small variation of the intrinsic electric fields, e.g., due to the remnant strain. On the contrary, the PL in the strained QW area is not redshifted at all, but rather intensity redistribution between constituents takes place. Consequently, the Stark redshift is equal or less in magnitude compared to the anticipated strain-induced shift of 30 meV. According to our estimation, this situation may be realized only at the small-scale electric fields.

## VI. DISCUSSION

Our studies have exhibited that a difference in strain conditions and electric-field magnitudes together with well-width variation in N- and Ga-polarity regions in GaN/AlGaIn QWs splits the PL emission into two bands and gives an additional absorption edge. The factors determining energies of the optical transitions are mutually dependent. For instance, the lower strain provides a higher growth rate.<sup>34</sup> That results in a local increase of well and barrier widths in the IDs, as compared to the surrounding area, accompanied by the respective variation of the electric fields.

The intrinsic electric fields in the Ga-polar regions of our



structures, as derived from a fitting of the well-width dependencies, is about a factor-of-2 less than what is conventionally reported for similar GaN/AlGaIn QWs of dominant Ga polarity.<sup>3,6</sup> Moreover, the value of internal electric field in the N-polarity regions (the main QW area) of our structures is even smaller. It is important that such a dissimilarity between the electric-field values in regions of N and Ga polarities is realized inside the same structure with similar overall characteristics, such as the residual doping level.

Generally, the electric-field values in GaN/AlGaIn QWs deduced from optical experiments are smaller than the theoretically predicted ones. In the first papers considering internal electric fields,<sup>2,28</sup> only the piezoelectric component was taken into account. Nevertheless, in the validity limits of PE parameters used, a satisfactory agreement with the experimental results was achieved. In 1997 Bernardini *et al.* pointed out that the spontaneous as well as the piezoelectric polarizations are essentials of wurtzite nitrides which are non-centro-symmetric crystals with a polar axis.<sup>35</sup> They calculated the SP and PE parameters for these compounds that permitted consideration of both effects in the later studies. However, most of the following experimental papers have reported on an overestimation of the electric fields calculated using the theoretical parameters, as compared with those derived from the Stark shift.<sup>3,6,36–38</sup> Leroux *et al.*<sup>3</sup> have determined an internal field of 450 kV/cm in the QWs instead of the expected 620–750 kV/cm. Subsequent refining of the model parameters by consideration of nonlinearity of the polarizations on the strain and the microscopic structure<sup>22</sup> has allowed significantly better agreement between the experimental and theoretical results. Note that the nonlinearity of both the macroscopic polarizations has been directly demonstrated by means of observation of the internal field in the structures without noticeable strain<sup>39</sup> and pressure-dependent optical studies.<sup>40,41</sup> Besides the nonlinearity, different factors have been considered to explain the discrepancy between the theoretical and experimental data, such as the diminishing of the polarizations in the presence of defects<sup>3,42</sup> and screening of the internal fields by excited or residual carriers.<sup>2,5,43</sup> The carrier distribution inside a structure and the influence of surface barrier potential have been taken into account.<sup>42,44,45</sup> The importance of the nonradiative recombination which controls the density of the photoexcited carriers and, hence, screening of the internal electric fields has been recognized as well.<sup>7,46</sup>

For our investigated structures, the possible influence of a varying depletion field across the wells as well as other effects related to the residual doping<sup>42,44,45</sup> can be neglected, because the level of the background doping is very low (less than  $5 \times 10^{16} \text{ cm}^{-3}$ ). The electric-field screening by photoexcited carriers, revealing itself as a blueshift of the PL emission, exists in the Ga-polar regions but is absent in N-polar areas. The monitored shift corresponds to a deviation of about 50 kV/cm in this experimental determination of the field in comparison with theoretical predictions. On the contrary, the nonlinearity of the polarization parameters can provide a field lowering up to 220 kV/cm, which is comparable with the calculated value of 180–200 kV/cm for the Ga-polar regions. The enhanced stress and higher Al content in the

N-polar QWs area ought to increase the internal field. The nonradiative recombination times should be infinitely long<sup>7</sup> to provide such flat well-width dependence, which is not realistic. Thus, when taking into account the respective nonlinearity<sup>47</sup> one could expect a field of about 300 kV/cm, i.e., significantly greater than the experimentally determined field.

The optical properties of the ID and QW regions agree with the estimated magnitudes of the intrinsic electric fields. The PL from the IDs is typical for an inhomogeneous array of localization sites under moderate fields. The Stokes shift between the ID PL and the respective absorption edge is rather large, about 60–80 meV. The TR PL data show a dramatic increase of the characteristic decay times along a contour of the PL spectrum. The higher-energy PL band, on the other hand, demonstrates the behavior representative for a QW under a uniform electric field of a small magnitude. The characteristic decay times vary slightly along the QW PL contour. The peak energy does not change at an excitation power increase. The Stokes shift between the absorption edge and the PL is small ( $\sim 20$  meV).

The most important facts about the QW PL are the following:

- (i) The PL in the 8-nm-wide wells appears at a high energy ( $\sim 3.6$  eV) determined by strain. No noticeable Stark shift takes place in these wells.
- (ii) The QW PL is enhanced in the ID-free regions, where the ID-related PL components are weakened, as demonstrated by the  $\mu$ -PL study.

The latter fact proves our assignment of the higher-energy PL as originating from the main QW area, while the former facts confirm a small-scale electric field. Accordingly, all our results evidence an unexpectedly low intrinsic electric field in the N-polar QWs. It should be mentioned that some reduction of the inherent polarizations can be expected in structures of mixed polarity, where the polarization-induced sheet charges of opposite signs along the same interface tend to compensate each other.<sup>14</sup> However this overall effect has to diminish the field in regions of any polarity, being even less important in the extended N-polar ones. Whereas the field decrease in the Ga-polar relaxed IDs, in addition to what has been discussed above, could be caused by a set of factors, such as mutual compensation of the SP and PE components or crystal disturbances near IDBs, the reduction of the field in the strained and perfect QW area (see Fig. 1) is possible only due to inherent deterioration of the spontaneous polarization at the N polarity.

This assumption can hardly revise the model macroscopic polarization parameters which should be equal for either of the polarities. However, it is worth recalling that the spontaneous polarization is a symmetry-dependent characteristic and any disturbance of the local crystal structure has to destroy it. The detailed analysis of the phenomenon is beyond the scope of the paper. Nevertheless, deteriorated structural properties of the N-polar layers as compared with the Ga-polar ones are well known. For instance, sheet carrier concentration and 2-DEG mobility are smaller in N-polar GaN/AlGaIn heterostructures.<sup>48</sup> The etching rate in N-polar structures is higher, reflecting the weaker bond strength, and

the PL intensity is usually less than that in Ga-polar structures.<sup>9</sup> [Strong emission observed in the N-polarity GaN (Ref. 12) can be related to the Ga-polarity IDs, as demonstrated by this study.] The worse structural properties might be accompanied by carrier localization in potential minima related to structural imperfections. That can enhance the non-radiative recombination times and, hence, facilitate the field screening.

However, if the field in the N-polar QW is not strong, the question of why QW PL intensity decreases so dramatically at the periphery arises. The ID density is reduced there, and the carrier transport to distant IDs is hampered. Our  $\mu$ -PL study reveals a PL drop to zero near the nanopipes whose PL density is rather high at the periphery. The nanopipes are predicted to be nonradiative centers.<sup>19</sup> In regions free from these defects, the PL, being weaker by a factor of 1.5 to 2 than in the Ga-polar regions (Fig. 6), is intense enough to survive up to room temperature in accordance with the small magnitudes of the estimated electric fields.

In conclusion, QW structures with inversion domains give a unique opportunity to study optical properties in regions of opposite polarities grown at the same conditions. In the GaN/AlGaIn QWs grown by MBE, these regions are characterized by different strains and intrinsic electric fields. In addition, a dispersion of the electric fields among the IDs is established. Small-scale electric fields prevailing in the N-polarity structures, related presumably to spontaneous polarization deterioration, provide bright photoluminescence even in the 8–9-nm-wide wells.

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\*Electronic address: shubina@beam.ioffe.ru

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